

# **Taurus Group**

## **INVESTOR CHARTER-Merchant Bankers-Debt Market**

## TAURUS CORPORATE ADVISORY SERVICES LIMITED

**Member: SEBI-Category I-Merchant Banker** 

Reg No. MB/INM000011740

#### **COMPLAINTS DATA**

#### **PREFACE:**

SEBI vide its circular No. SEBI/HO/DDHS/P/CIR/2021/0669 dated November 26, 2021 on the subject Publishing Investor Charter and Disclosure of Complaints by Merchant Bankers on their Websites – Debt Market on their websites issued guidelines for enhancing Investor awareness, about the various activities which an investor deals with while availing the services provided by Merchant Bankers in Debt Market.

Accordingly, as per the Annexure B of the said circular, the details are as below.

## Data for the month ending - March -2024

| Sr. | Received      | Pending | Received   | Resolved   | Total      | Pending    | Average    |
|-----|---------------|---------|------------|------------|------------|------------|------------|
| No. | from          | at the  | during     | during     | Pending    | complaints | Resolution |
|     |               | end of  | particular | particular | during     | > 1month   | time^      |
|     |               | last    | month      | month*     | particular |            | (in days)  |
|     |               | month   |            |            | month#     |            |            |
| 01. | Directly from | 0       | 0          | 0          | 0          | 0          | 0          |
|     | Investors     |         |            |            |            |            |            |
| 02. | SEBI          | 0       | 0          | 0          | 0          | 0          | 0          |
|     | (SCORES)      |         |            |            |            |            |            |
| 03. | Stock         | 0       | 0          | 0          | 0          | 0          | 0          |
|     | Exchanges     |         |            |            |            |            |            |
|     | (if relevant) |         |            |            |            |            |            |
| 04. | Other         | 0       | 0          | 0          | 0          | 0          | 0          |
|     | Sources (if   |         |            |            |            |            |            |
|     | any)          |         |            |            |            |            |            |
|     | Grand Total   | 0       | 0          | 0          | 0          | 0          | 0          |



# Trend of monthly disposal of complaints for the financial year:

| Sr. | Month          | Carried      | Received   | Resolved   | Pending at |
|-----|----------------|--------------|------------|------------|------------|
| No. |                | forward from | during     | during     | the end of |
|     |                | previous     | particular | particular | particular |
|     |                | month        | month      | month *    | month #    |
| 01. | April-2023     | 0            | 0          | 0          | 0          |
| 02. | May-2023       | 0            | 0          | 0          | 0          |
| 03. | June-2023      | 0            | 0          | 0          | 0          |
| 04. | July-2023      | 0            | 0          | 0          | 0          |
| 05. | August-2023    | 0            | 0          | 0          | 0          |
| 06. | September-2023 | 0            | 0          | 0          | 0          |
| 07. | October -2023  | 0            | 0          | 0          | 0          |
| 08. | November-2023  | 0            | 0          | 0          | 0          |
| 09. | December-2023  | 0            | 0          | 0          | 0          |
| 10. | January-2024   | 0            | 0          | 0          | 0          |
| 11. | February-2024  | 0            | 0          | 0          | 0          |
| 12. | March-2024     | 0            | 0          | 0          | 0          |
|     | Grand Total    | 0            | 0          | 0          | 0          |

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

# Trend of annual (financial year) disposal of complaints (for 3 years on rolling basis)

| Sr.<br>No. | Year        | Carried<br>forward from<br>previous year | Received<br>during<br>particular<br>year | Resolved<br>during<br>particular<br>year | Pending at<br>the end of<br>particular<br>year |
|------------|-------------|--|--|--|--|
| 0.1        | 2010 20     | 0  |  | year                                     | year   |
| 01.        | 2019-20     | 0  | 0  | 0  | 0  |
| 02.        | 2020-21     | 0  | 0  | 0  | 0  |
| 03.        | 2021-22     | 0  | 0  | 0  | 0  |
| 04.        | 2022-23     | 0  | 0  | 0  | 0  |
| 05.        | 2023-24     | 0  | 0  | 0  | 0  |
|            | Grand Total | 0  | 0  | 0  | 0  |

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.