



INACTIVE/DORMANT CLIENT POLICY

TAURUS CORPORATE ADVISORY SERVICES LIMITED.

Member: NSE, BSE, MCX SX

❖ MEANING:

Inactive / Dormant client means client who has not traded during last 12 months immediately preceding the end of the previous month.

Further as per the government initiative and the Exchange requirements, the accounts have to be linked with the Aadhar details if the account holder. Non fulfilment of the same may lead to deactivating the client account.

❖ PROCESS:

1. A list of inactive clients shall be prepared from the back office software on the last day of every month and shall be submitted to the concerned department after confirmation with the management.
2. A copy of the list is also forwarded to dealers who operate our BOLT or NEAT terminals.
3. The concerned department shall mark the client status as “inactive” or “dormant” in various front office software of CTCL and back office accounting software. The limit of inactive client to be set to Nil in our software so system restricts dealer to trade in dormant account initially.
4. If any orders are received from such inactive / dormant client’s dealer, this is to be communicated with person in charge of said department. After communicating with client if risk department is satisfied the limit for such inactive / dormant clients will be opened and the respective dealer will be instructed.
5. In case the client remains dormant for a period exceeding two years, the mapped details of the client are withdrawn and in case any order is received from such client, the entire KYC along with in-person verification is to be done before allowing the client to deal.

Details of key personnel:

Sr. No.	Particulars	KMP-1	KMP-2
01.	Designation	Principal Officer	Compliance Officer
02.	Name	Mr. Mihir Mehta	Ms. Deepali Vaidya
03.	Contact No.	022-61471100	022-61471177
04.	Fax No.	022-26104925	022-26104925
05.	Email id	Mihir.mehta@taurusgrp.com	deepali@taurusgrp.com
06.	Grievances	grievance@taurusgrp.com	
07.	Information	info@taurusgrp.com	